

Import LC Update Drawing Islamic User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Import LC Update Drawing Islamic User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM facilitates its customers to initiate new Trade transactions/handle response to existing transaction through Trade portals, our by visiting the branch or through SWIFT.

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Import LC Update Drawing Islamic

Details of Drawing under an Import LC can be updated before liquidation, this can be on account of applicant response on discrepancy or change of maturity date etc. The update can be requested through Online/Offline channels.

The various stages involved for Islamic Import LC Drawing update are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input application details
- Upload of related mandatory and non-mandatory documents received from the presenting bank
- Verify documents and capture details (Online/Non Online Channels)- Scrutiny stage
- Input/Modify details of update Drawing - Data Enrichment stage
- Check for limit availability if applicable
- Check balance availability for amount block if applicable
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The Islamic Import LC Drawing Update process flow is similar to that of conventional Import LC Drawing Update process flow.

This section contains the following topics:

Common Initiation Stage	Registration
Scrutiny	Data Enrichment
Exceptions	Multi Level Approval
Reject Approval	

Common Initiation Stage

The user can initiate the new import LC update drawing request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.

2. Click Trade Finance > Initiate Task.

The screenshot shows the Oracle Trade Finance 'Initiate Task' registration form. The sidebar menu on the left has 'Initiate Task' highlighted in red. The main form area contains three input fields: 'Process Name' with the value 'Import LC Update Drawings Islamic', 'Drawing Reference Number' with the value 'PK2ISLP19081A5EI', and 'Branch' with the value 'PK2-FLEXCUBE UNIVERSAL BANK'. There are 'Proceed' and 'Clear' buttons at the bottom right of the form.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Drawing Reference Number	Select the drawing reference number.
Branch	Select the branch.

Action Buttons

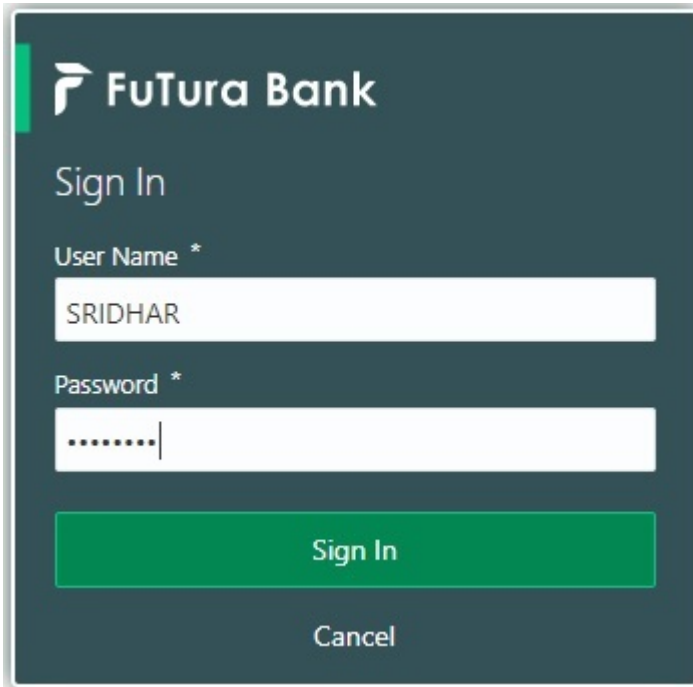
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

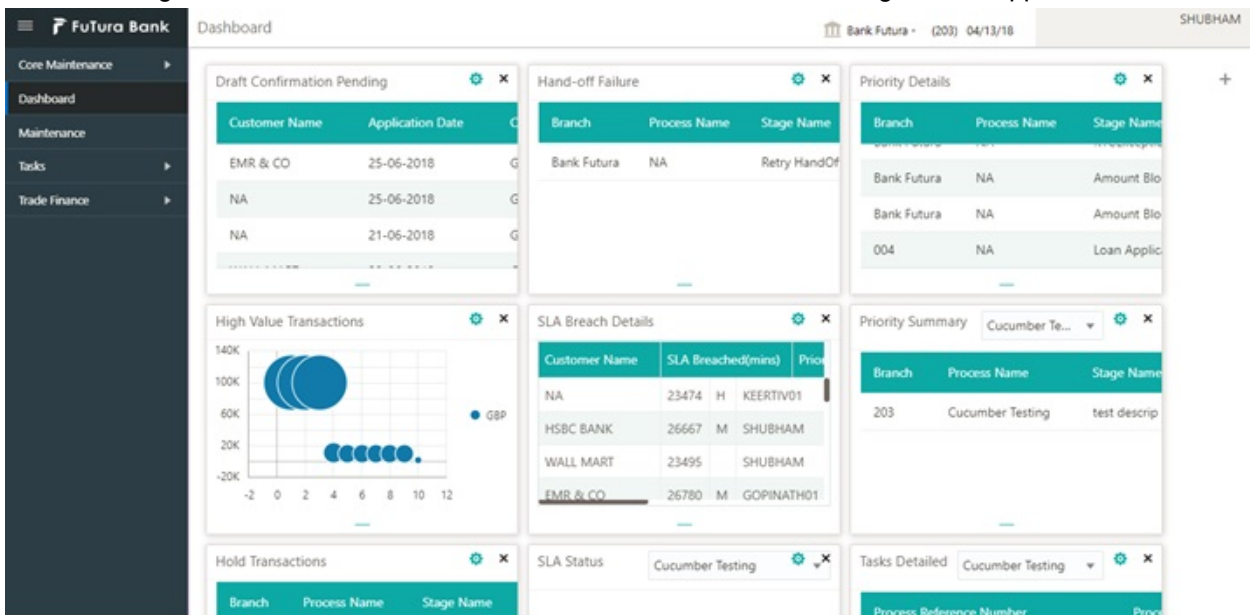
During Registration stage, user registers request for an Islamic Import LC Update Drawing at the front desk (as an application received physically/Courier). User can also capture the basic details, check the signature of the applicant and upload the documents received from presenting bank. System also enables the user to capture some additional product related details as an option. On submit of the request the request will be available for an LC expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name *" containing the text "SRIDHAR" and "Password *" with masked characters. A green "Sign In" button is positioned below the password field, and a "Cancel" link is located at the bottom center.

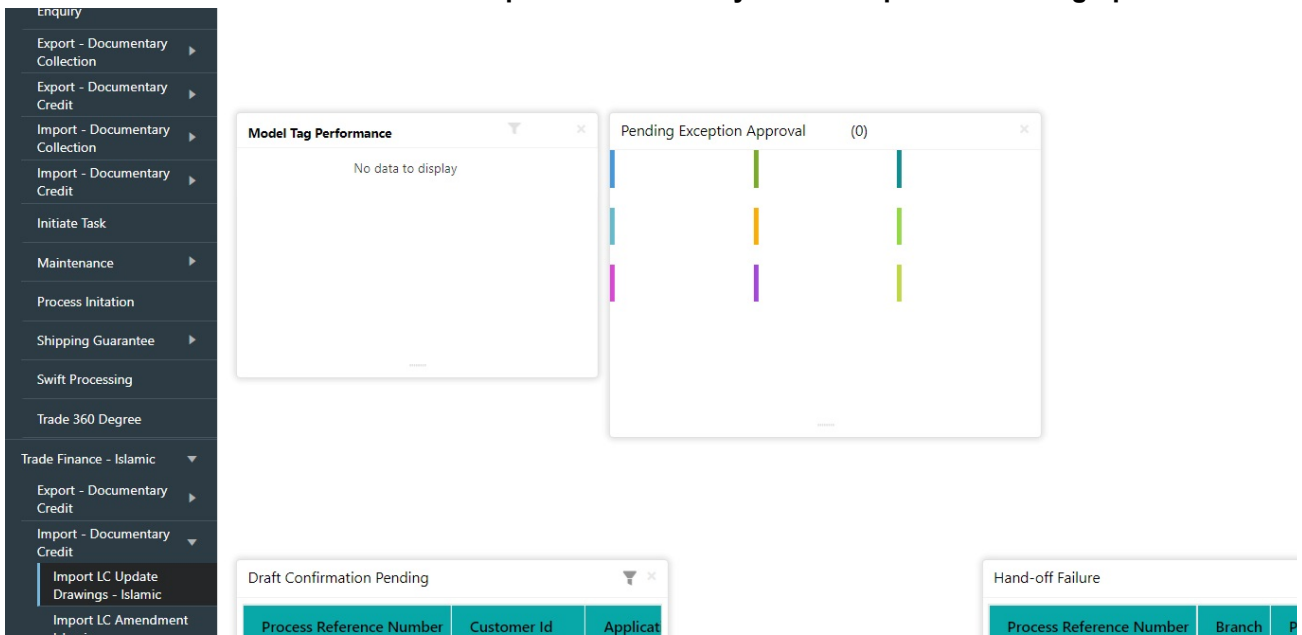
2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The dashboard displays various data widgets. On the left is a navigation menu with options like Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main area contains several widgets:

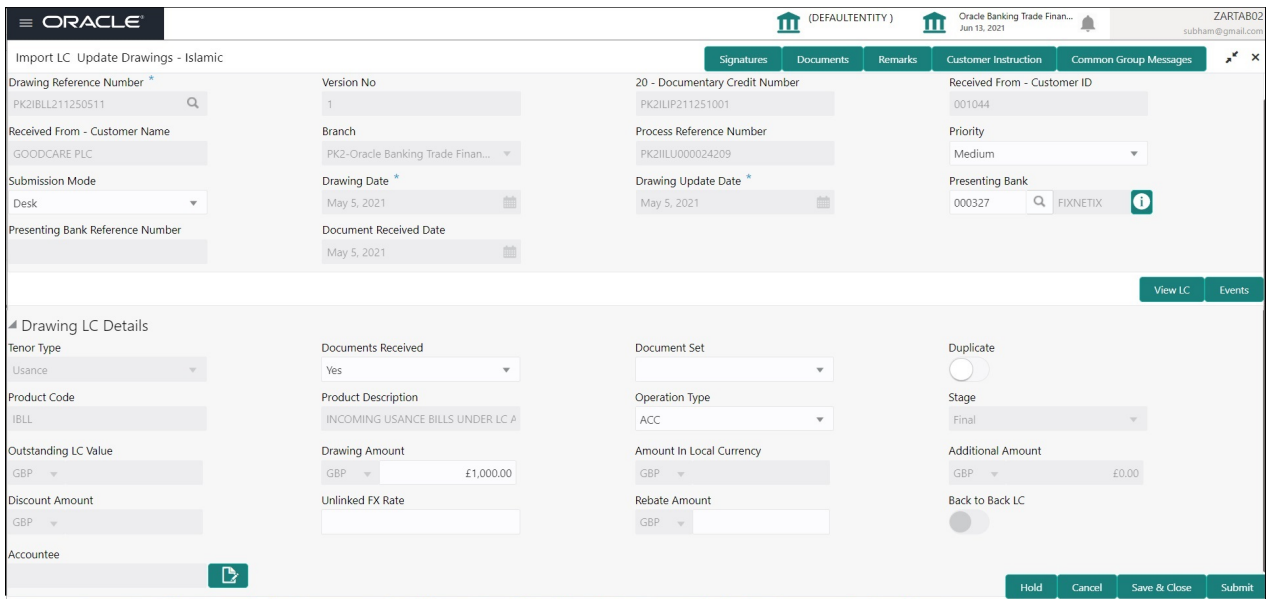
- Draft Confirmation Pending:** A table with columns Customer Name, Application Date, and a status indicator. Data includes EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** A table with columns Branch, Process Name, and Stage Name. Data includes Bank Futura, NA, and Retry HandOf.
- Priority Details:** A table with columns Branch, Process Name, and Stage Name. Data includes Bank Futura, NA, Amount Blo, and 004, NA, Loan Applic.
- High Value Transactions:** A bubble chart showing transaction values for different categories, with a legend for GBP.
- SLA Breach Details:** A table with columns Customer Name, SLA Breached(mins), and Priority. Data includes NA (23474, H, KEERTIV01), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), and EMR & CO (26780, M, GOPINATH01).
- Priority Summary:** A table with columns Branch, Process Name, and Stage Name. Data includes 203, Cucumber Testing, and test descrip.
- Hold Transactions:** A table with columns Branch, Process Name, and Stage Name.
- SLA Status:** A widget showing Cucumber Testing.
- Tasks Detailed:** A widget showing Cucumber Testing.

3. Click Trade Finance- Islamic > Import - Documentary Credit> Import LC Drawing Update- Islamic.





The Registration stage has two sections Basic Details and Drawing Details. Let's look at the details of Registration screens below:

Application Details



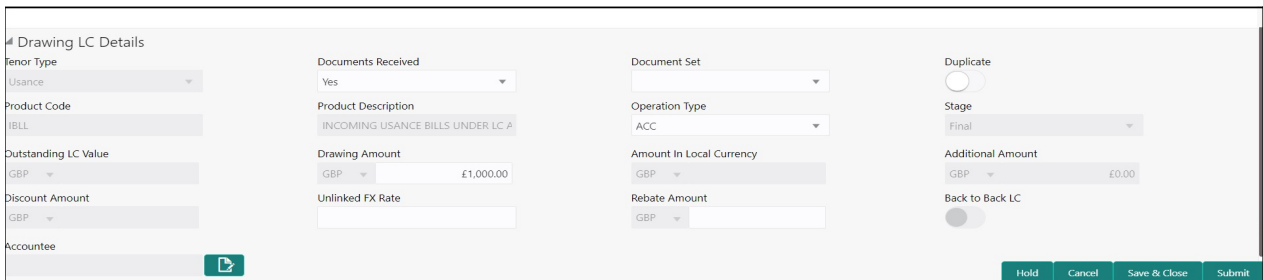
Provide the Basic Details based on the description in the following table:

Field	Description	Sample Values
Drawing Reference Number	<p>Provide the drawing reference number. Alternatively user can search the drawing number using LOV.</p>  <p>Note In case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p>	
Version Number	<p>Read only field. Version number is the versions of the drawing update.</p>	
Documentary Credit Number	<p>Read only field. Documentary credit number will be auto-populated based on selected LC from the LOV.</p>	
Received From - Customer ID	<p>Read only field. Customer ID will be auto-populated based on the selected LC from the LOV.</p>	001344
Received From - Customer Name	<p>Read only field. Customer Name will be auto-populated based on the selected LC from the LOV.</p>	EMR & CO
Branch	<p>Read only field. Branch details will be auto-populated based on the selected LC from the LOV.</p>	203-Bank Futura -Branch FZ1
Process Reference Number	<p>Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.</p>	
Priority	<p>System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.</p>	High
Submission Mode	<p>Select the submission mode of Import LC Drawing request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier</p>	Desk
Drawing Date	<p>Read only field. Drawing date will be auto-populated based on the selected drawing.</p>	04/13/2018

Field	Description	Sample Values
Drawing Update Date	By default, the application will display branch's current date and does not enables the user to change the date to any back date.	
Presenting Bank	Read only field. Presenting bank details will be auto-populated based on the selected LC from the LOV.	
Presenting Bank Reference Number	Read only field. Presenting bank reference number will be auto-populated based on the selected LC from the LOV.	
Document Received Date	By default, the application will display branch's current date and enables the user to change the date to any back date. <div style="text-align: center;">  NOTE Future date selection is not allowed. </div>	


Drawing LC Details

Drawing Details will be populated from the drawings. Registration user can update the fields if required.



Provide the drawing Details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Select the Tenor Type from the LOV: <ul style="list-style-type: none"> • Sight • Usance • Mixed 	

Field	Description	Sample Values
Documents Received	<p>Set the document received status as 'Yes' or 'No' from the LOV.</p>  <p>Note: In case a drawing was booked without documents (For example against MT750) and the documents are subsequently received from the Presenting Bank, user has to initiate the update drawing Process. User will mark the Documents Received as Yes, however the user will not select the duplicate document toggle. Instead the user will go to "Document Details" hop and capture the document details.</p>	
Document Set	<p>Select the set of import document received:</p> <ul style="list-style-type: none"> • First • Second • Both <p>The user has to select the option as 'Second' and has to set the "Duplicate" toggle to Yes, if a Duplicate (second set) of Import document is received.</p>	
Duplicate	<p>If documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit.</p> <p>This toggle when enabled, does not allow the user to update any other field within the task except for capturing the remarks.</p>	
Product Code	<p>Read only field.</p> <p>Product code will be auto-populated based on the selected drawing.</p>	
Product Description	<p>Read only field.</p> <p>This field displays the description of the product as per the product code.</p>	
Operation Type	<p>Select the operation type from the LOV:</p> <ul style="list-style-type: none"> • Payment • Collection • Acceptance • Discount • NEG 	
Stage	<p>Select the stage from the LOV:</p> <ul style="list-style-type: none"> • Initial • Final 	

Field	Description	Sample Values
Outstanding LC Value	Read only field. Outstanding LC value will be auto-populated. This field displays the value as per the latest LC.	
Drawing Amount	Provide the drawing amount under the LC for which documents have been submitted.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Additional Amount	This field enables user to provide any additional amount to be included under the LC drawing.	
Discount Amount	Read only field. Outstanding LC value will be auto-populated. This field displays the value as per the latest LC.	
Unlinked FX Rate	Provide the unlinked FX rate.	
Rebate Amount	Read only field. Rebate to the bill outstanding amount.	
Back to Back LC	Flag to check if the Import LC is a back to back LC. Toggle On: Set the Toggle On if back to back LC is applicable. Toggle Off: Set the Toggle Off if back to back LC is applicable.	
Accountee	Read only field. This field displays the operation type based on the selected LC.	

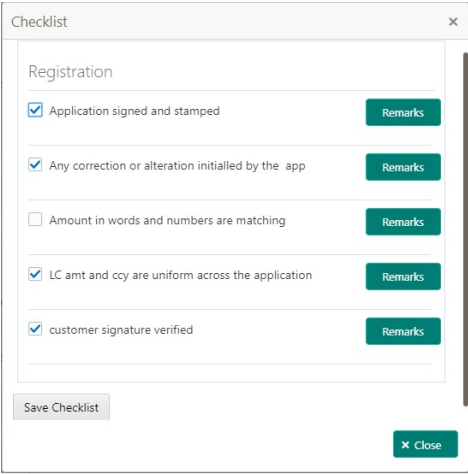
Miscellaneous

The screenshot displays the Oracle Trade Finance interface for an Islamic LC. The top navigation bar includes 'ORACLE', user information '(DEFAULTTENITY)', and the current date 'Jun 13, 2021'. The main header shows 'Import LC Update Drawings - Islamic'. Below this, there are several input fields for drawing details: Drawing Reference Number (FK2IBLL211250511), Version No (1), 20 - Documentary Credit Number (PK2ILUP211251001), Received From - Customer ID (001044), Received From - Customer Name (GOODCARE PLC), Branch (PK2-Oracle Banking Trade Finan...), Process Reference Number (PK2ILLU000024209), Priority (Medium), Submission Mode (Desk), Drawing Date (May 5, 2021), Drawing Update Date (May 5, 2021), and Presenting Bank (000327, FIXNETIX). A 'Drawing LC Details' section is expanded, showing fields for Tenor Type (Usance), Documents Received (Yes), Document Set, Duplicate (radio button), Product Code (IBLL), Product Description (INCOMING USANCE BILLS UNDER LC A), Operation Type (ACC), Stage (Final), Outstanding LC Value (GBP), Drawing Amount (GBP, £1,000.00), Amount In Local Currency (GBP), Additional Amount (GBP, £0.00), Discount Amount (GBP), Unlinked FX Rate, Rebate Amount (GBP), and Back to Back LC (radio button). Action buttons at the bottom include 'Hold', 'Cancel', 'Save & Close', and 'Submit'.

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the LC.	
Remarks	Provide any additional information regarding the drawing. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
LC Events	On click, system will display the details of LC issuance, amendments (if any), drawings (if any) and liquidations if any under the LC in chronological sequence from Issuance.	

Action Buttons

Field	Description	Sample Values
Submit	On submit, task will get moved to next logical stage of Import LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Import LC Update Drawing Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit. 	

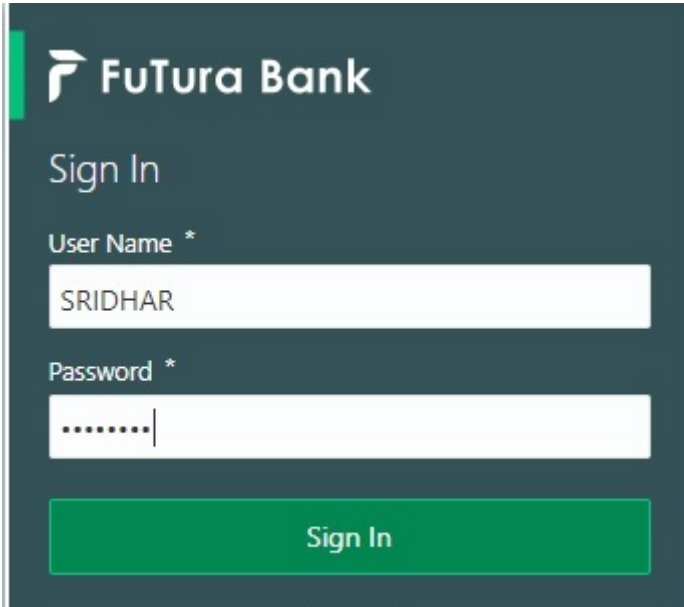
Scrutiny

A Scrutiny user can scrutinize the request for Islamic Import LC Drawing update.

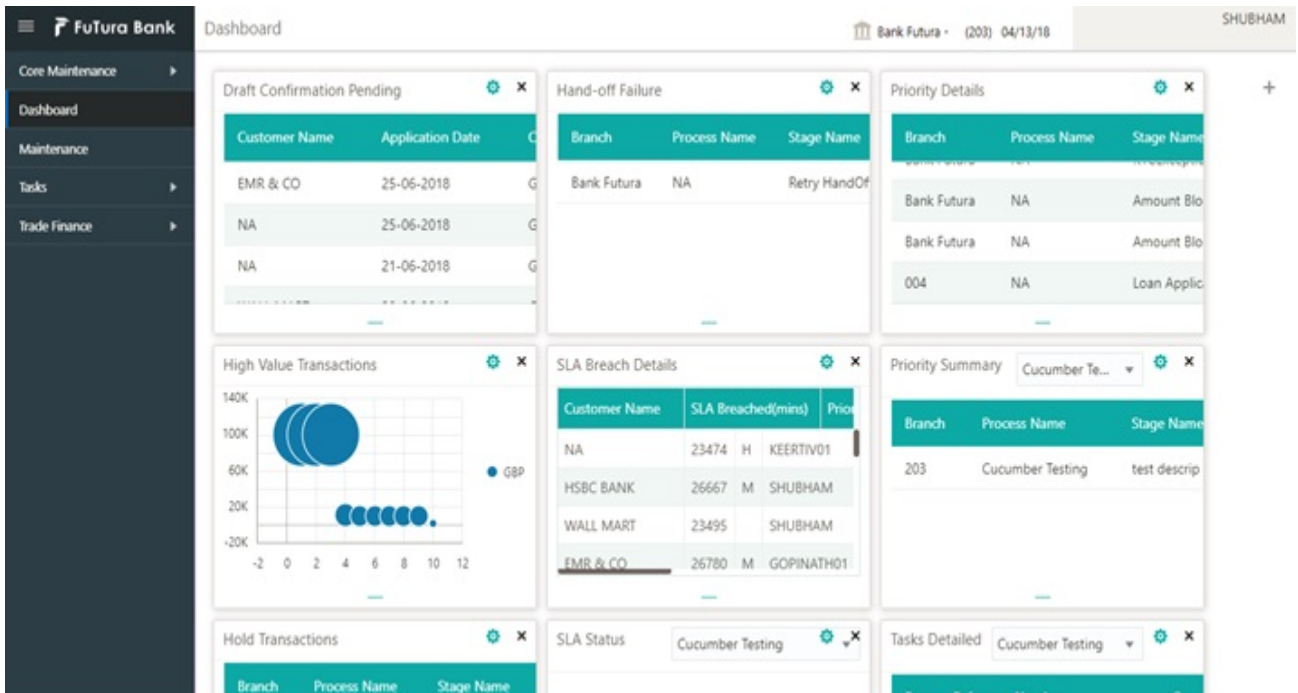
As part of scrutiny, the user can enter/update basic details of the Islamic LC Drawing update request and can verify if the request can be progressed further. The task initiated from the online channel (OBDX/ SWIFT MT798) should be created in the Scrutiny stage directly as in conventional process flow.

Do the following steps to acquire a task at Scrutiny stage:

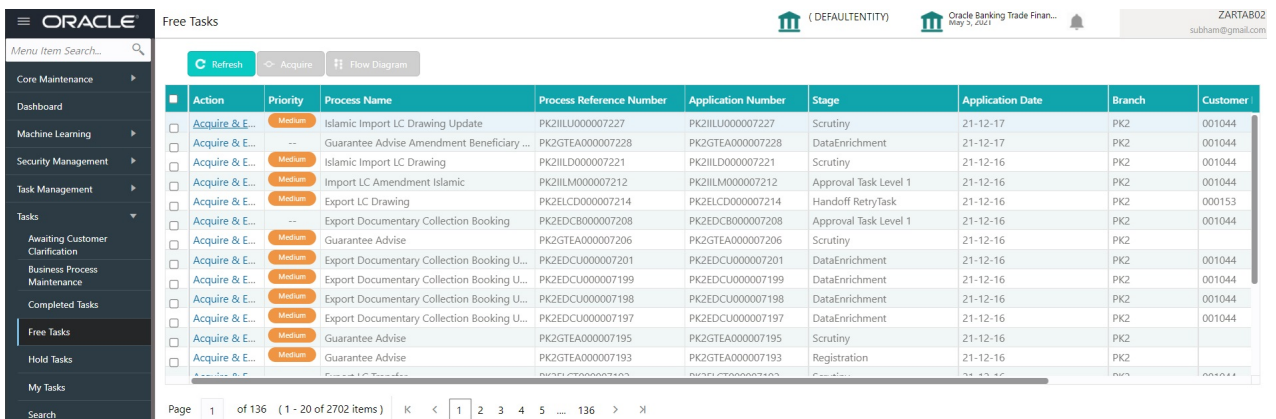
1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
Acquire & Edit	Medium	Islamic Import LC Drawing Update	PK2IILU000007227	PK2IILU000007227	Scrutiny	21-12-17	PK2	001044
Acquire & Edit	Medium	Guarantee Advise Amendment Beneficiary ...	PK2GTEA000007228	PK2GTEA000007228	DataEnrichment	21-12-17	PK2	001044
Acquire & Edit	Medium	Islamic Import LC Drawing	PK2IILD000007221	PK2IILD000007221	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Import LC Amendment Islamic	PK2IILM000007212	PK2IILM000007212	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Export LC Drawing	PK2ELCD000007214	PK2ELCD000007214	Handoff RetryTask	21-12-16	PK2	000153
Acquire & Edit	Medium	Export Documentary Collection Booking	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Collection Booking U...	PK2EDCU000007201	PK2EDCU000007201	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Collection Booking U...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Collection Booking U...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Collection Booking U...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	001044

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Edit	Medium	Islamic Import LC Drawing Update	PK2IILU000007227	PK2IILU000007227	Scrutiny	21-12-17	PK2	001044
Edit	Medium	Import LC Issuance Islamic	PK1IILU000007200	PK1IILU000007200	KYC Exceptional approval	21-12-16	PK2	000325
Edit	Medium	Shipping Guarantee Issuance	PK2SGTI000007183	PK2SGTI000007183	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Lodge Claim - Guarantee Issued	PK2GTEC000007179	PK2GTEC000007179	Scrutiny	21-12-16	PK2	001044
Edit	Medium	Guarantee advise claim lodging	PK2GADC000007176	PK2GADC000007176	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee Issuance Closure	PK2GTEC000007172	PK2GTEC000007172	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee SBLC Issuance -Claim ...	PK2GISCO000007171	PK2GISCO000007171	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee Issuance Internal Ame...	PK2GTEI000007167	PK2GTEI000007167	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee Issuance Internal Ame...	PK2GTEI000007163	PK2GTEI000007163	Registration	21-12-15	PK2	001044
Edit	Medium	Guarantee Issuance Amendment B...	PK2GTEI000007162	PK2GTEI000007162	DataEnrichment	21-12-15	PK2	001044
Edit	Medium	Guarantee Cancellation	PK2GTEC000007160	PK2GTEC000007160	DataEnrichment	21-12-15	PK2	000325
Edit	Medium	Guarantee Advise Cancellation	PK2GTAC000007159	PK2GTAC000007159	DataEnrichment	21-12-15	PK2	001044
Edit	Medium	Guarantee Advise Amendment	PK2GTAA000007158	PK2GTAA000007158	DataEnrichment	21-12-15	PK2	001044

The Scrutiny stage has hops which are as follows:

- Main Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details
- Maturity Details
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User must be able to enter/update the following fields. Fields with pre-defaulted values are non editable.

Main Details

Main details section has two sub section as follows:

- Application Details
- Drawing LC Details

Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Following field can be amended based on the description provided in the following table:

Field	Description	Sample Values
Priority	User can change the priority defaulted' Values are High, Medium and Low.	High

Drawing LC Details

The fields listed under this section are same as the fields listed under the [Drawing LC Details](#) section in [Registration](#). Refer to [Drawing LC Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Following fields can be amended based on the description in the following table:

Field	Description	Sample Values
Documents Received	Set the document received status as 'Yes' or 'No' from the drop down.	
Duplicate	If documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit.	

Field	Description	Sample Values
Operation Type	Select the operation type from the LOV: <ul style="list-style-type: none"> • Payment • Collection • Acceptance • Discount • NEG 	
Stage	Select the stage from the LOV: <ul style="list-style-type: none"> • Initial • Final 	
Discount Amount	This field enables user to provide the discount amount to be processed under the LC drawing.	
Unlinked FX Rate	Provide the unlinked FX rate.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Displays the incoming message, if any.	
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Drawing Summary

Document Details

User can compare the document received with the required documents and identify the discrepancies, if any. Provide the information based on the description in the following table:

Document Details

Document Code	Document Reference Number	Copy	Original	Description
AIRDOC				SET of Clauses for CIF
BOL				
INSDOC				INSURANCE POLICY OR CERTIFICATE ENDORSED IN BLANK FOR NOT LESS THAN 110PCT INVOICE VALUE, STIPULATING THAT CLAIMS ARE PAYABLE AT DESTINATION
INVDOC				Commercial invoice, duly signed
MARDOC				CLEAN SEA WAYBILLS CONSIGNED TO APPLICANT, NOTIFY APPLICANT, MARKED 'FREIGHT COLLECT / PREPAID' INDICATING THIS CREDIT NUMBER.

Page 1 of 2 (1-5 of 7 items) 1 2 Save & Close Cancel

Field	Description	Sample Values
Document Code	Document code is auto-populated from the latest LC.	
Document Reference Number	Enables user to provide the reference number available in the documents.	
Copy	Number of duplicate copies of documents as required in LC.	
Original	Number of documents in original as required in LC.	
Description	Description of the document required as per LC.	
First Mail Received Copy	Update the number of duplicate copies of documents received.	
First Mail Received Original	Update the number of originals of documents received.	

Field	Description	Sample Values
Received Description	Provide the description of the documents received from presenting bank.	
Discrepant	System marks the discrepant toggle as 'Yes' if there is difference between number of documents required and number of documents received. It also enables the user to make the changes, if any discrepancy identified in the document.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Resolved Under MT750	If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/ Waived' the discrepancy then the user must set the value as 'Yes' in the toggle. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field.	

Shipment Details

User must check whether the received documents of goods and shipment are as per the LC.

Shipment Details
✕

Goods Details

Select	Goods Code	As Per LC	Number of Units	Price Per Unit	As Per Documents	Discrepant	Discrepant
<input type="checkbox"/>	BANNEDGO	BANNEDGOOD	25	1,000		<input type="checkbox"/>	<input type="checkbox"/>

Insurance Company Code: Insurance Company: Policy Number: Carrier Name:

Shipment Details

Date of Shipment: Shipping Agent: Date of Presentation*:

Details	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description	Resolved Under MT750
Partial Shipment	NOT ALLOWED		<input type="checkbox"/>			<input type="checkbox"/>
Trans Shipment	NOT ALLOWED		<input type="checkbox"/>			<input type="checkbox"/>
Place of Taking Charge	PUNE		<input type="checkbox"/>			<input type="checkbox"/>
Place of Final Destination	KOLKATA		<input type="checkbox"/>			<input type="checkbox"/>
Latest Date of Shipment	<input type="text"/>		<input type="checkbox"/>			<input type="checkbox"/>
Shipment Period			<input type="checkbox"/>			<input type="checkbox"/>
Period of Presentation			<input type="checkbox"/>			<input type="checkbox"/>

Goods Details

Provide the Goods Details based on the description in the following table:

Field	Description	Sample Values
-------	-------------	---------------

The user can click + to add multiple good details.

Field	Description	Sample Values
Select	The check box to select the record.	
Goods Code	This field displays goods code as per latest LC. User can click plus + icon and click Search to search and select the goods code. User can add or delete the code by deleting the line on the grid.	
As per LC	This field displays description of the goods as per the latest LC.	
Number of Units	Enter the number of units being imported or exported.	
Price per Unit	Enter the value for price per unit.	
As per Documents	Provide the description of the goods as per the documents.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified. This field is enabled if Discrepant option is set to 'Yes'.	
Discrepancy Description	Description will be displayed based on the discrepancy code. Click the edit icon to edit the discrepancy description.	
Insurance Company Code	Click Search to search and select the insurance company code.	
Insurance Company	The details of insurance company is populated as per the selected Insurance Company Code.	
Policy Number	Provide the policy number of the insurance.	
Carrier Name	Provide the details of the carrier as per the documents received, if the goods got shipped via multiple carriers.	

Shipment Details

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Date of Shipment	Provide the date of shipment as per the documents received. This field is validated against the Latest Date of Shipment in LC to check for discrepancy.	
Shipping Agent	Provide the shipping agent details based on the documents received.	
Date of Presentation	Auto-populated based on the system date. If Place of expiry is Issuing bank, This field is validated against the Expiry Date in LC to check for discrepancy.	
Details	Details represent the fields in latest LC.	
As per LC	This field displays description of the fields as per the latest LC terms.	
As Per Document	Provide/Select the description of the field as per documents submitted.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified. This field is enabled if Discrepant option is set to 'Yes'.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Resolved Under MT750	If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/ Waived' the discrepancy then the user must set the value as 'Yes' in the toggle. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field.	

Additional Conditions

Enables the user to check the whether the documents received comply with the additional conditions mentioned in LC.

Additional Conditions ×

▾ Additional Conditions +

FFT Code	FFT Description	Discrepant	Discrepant Code	Discrepant Description	Resolved Under MT750	Action
SNDRRCVRINFO	sndrrcvrinfo	<input type="checkbox"/>	CERT	H.S. CODE NO.9401.7900 CURRENCY: ARAB SAUDI RIAL		✎ 🗑

Page 1 of 1 (1 of 1 items) ⏪ < 1 > ⏩

Save & Close
Cancel

This section displays the list of additional conditions as per LC. Provide the Additional Conditions details as per the description in the following table:

Field	Description	Sample Values
FFT Code	This field displays the FFT code as per the latest LC.	
FFT Description	This field displays the description of the FFT code as per the latest LC.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified. This field is enabled if Discrepant option is set to 'Yes'.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Resolved Under MT750	If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/ Waived' the discrepancy then the user must set the value as 'Yes' in the toggle. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	

Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Events	Click this button to view all the Undertaking events under the LC Issued till date.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Import LC Drawing Scrutiny stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Discrepancy Details

This section displays the list of discrepancies captured. This section displays the list of discrepancies captured. The discrepancies which are resolved under MT750 will not be available in the discrepancy summary.

Field	Description	Sample Values
Accept All	To accept all the discrepancy. System will auto update the Discrepancy status as 'Accepted' and Resolved Date to branch date for all the discrepancy records. User can capture the Resolved Remarks for individual discrepancy if any.	
Reject All	To reject all the discrepancy. System will auto update the Discrepancy status as 'Rejected' and Resolved Date to branch date for all the discrepancy records. User can capture the Resolved Remarks for individual discrepancy if any.	
Discrepancy Code	Read only field. This field displays the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Read only field. This field displays the description based on the description code.	
Discrepancy Resolved	Set the toggle to 'Yes', if discrepancy is resolved.	
Resolved Date	Update the date when discrepancy is resolved.	
Resolved Remarks	Provide remarks for discrepancy resolution.	
Resolved Under MT750	The discrepancies which are resolved under MT750 are listed here and will not be available in the Discrepancy summary field.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Import LC Update Drawing Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Other Details

Provide the other bank charges based on the description in the following table:

Shipping Guarantee Reference

Provide the Shipping Guarantees Details based on the description in the following table:

Field	Description	Sample Values
Click '+' sign to add multiple Shipping Guarantees to a Single Bill.		
Shipping Guarantee Reference	Click Search to search and select the shipping guarantee reference number. User can link an existing Shipping Guarantee using this field.	

Field	Description	Sample Values
Transport Document Reference	System defaults the Transport Document Reference details. System validates the Shipping Guarantee Transport Document reference number with Transport Document Reference number in a Bill, if user manually provides the Shipping Guarantee detail.	
Transport Document Date	System defaults the transport document date provided in the Bill, which is linked with the Shipping Guarantee.	
Amount	Shipping Guarantee amount is displayed in this field.	
Action	Edit: Click edit to edit the shipping guarantee details. Delete: Click edit to edit the shipping guarantee detail.	

Other Details

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	
Allow Pre-Payment	Toggle On: Set the toggle 'On' to enable pre-payment. Toggle Off: Set the toggle 'Off' to disable pre-payment.	
Future Advance Date	Select the future advance date to capture advanced requested Date. Future Advance Date should be less than the Bill Maturity Date and should be greater than the current branch date. System should default the "Future Advance Date" which has been captured already as part of the latest version of the contract and should allow the user to modify the same. This field is enabled if the operation code is "ACC".	

Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description -1	This field displays the description of charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description -2 to 3	This field displays the description of charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description -3	This field displays the description of charges to be collected for the other bank as part of the drawings transaction.	

Other Bank Profit

The user can enter the Interest details to be captured as a part of “Other Bank Interest” details section.

Provide the other bank interest based on the description in the following table:

Field	Description	Sample Values
Start Date	Provide the date from which the system starts calculating the Interest.	
Other Bank Profit-1, 2 and 3		
Component	This field displays the name of the interest Component.	
Component Description	Provide the description of the interest component.	
Profit Rate	Provide the rate to be applied for the interest component.	
Profit Basis	Select the calculation basis on which the Interest to be computed.	
Waive	Select whether the interest to be waived off. The options are: <ul style="list-style-type: none"> • Yes • No 	

MT 750 Details

Provide the MT 750 Details based on the description in the following table:

Field	Description	Sample Values
71D Charges To Be Deducted	Specify the charges to be deducted.	
34B Total Amount To Be Paid	Select the currency and specify the total amount to be paid.	
Charges To Be Added	Specify the charges to be added.	
72Z Sender To Receiver Information	Specify the sender to receiver information.	

Maturity Details

This field displays the maturity details and this section. A Scrutiny user can input the Maturity details data segment of an Islamic Import LC Update Drawing.

Draft Details

Update the maturity details based on the description in the following table:

Field	Description	Sample Values
Draft Code	Update the draft code. Alternatively, user can search the draft details using LOV.	
Draft Amount	Update the draft amount.	
Action	Click Edit icon to edit the draft code. Click Delete icon to delete the draft code.	

Maturity and Multi Tenor Liquidation Details

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Basis	Update the tenor basis, if the tenor is not sight.	
Tenor Description	The tenor base code description is displayed based on the selected tenor basis.	
Start Date	Provide the tenor start date.	
Tenor Days	Provide the number of tenor days	
Transit Days	Provide the transit days, if the tenor is sight.	
Maturity Date	<p>System displays the due date for the drawing based on tenor and tenor basis.</p> <p>If tenor is sight, system will calculate the maturity date as 5 working days from document received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date.</p> <p>If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.</p>	
Bill Amount	Provide the bill amount.	
Liquidated Amount	Provide the liquidation amount.	
Exchange Rate	Provide the Exchange Rate.	
Liquidation Date	Provide the liquidation date.	
Liquidation Amount	Provide the bill amount.	
Rebate Amount	Provide the rebate amount for the LC value as per the tenor basis.	
Profit from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Profit To Date	Select the interest to date.	
Accept Commission From Date	Provide the acceptance commission start date.	
Accept Commission To Date	Provide the acceptance commission to date.	

Additional Details

A Scrutiny user can verify/input/update the additional details Data Segment of the Islamic Import LC update Drawing request.

As part of Additional details section, LC may have impact on the Limits, Collaterals and Charge section.

Islamic Import LC Drawing Update - Scrutiny :: Application No: PK2ILU000007227

Overrides Customer Instruction Common Group Messages Incoming Message

Main Details Drawing Summary Maturity Details **Additional Details** Summary

Additional Details Screen (4 / 5)

Limits & Collaterals		Charge Details		Assignment of Proceeds	
Limit Currency :		Charge :		AssignmentOfProceeds :	No
Limit Contribution :		Commission :		Assignee Name :	
Limit Status :		Tax :		Assigned Amount :	
Collateral Currency :	USD	Block Status :			
Collateral Contribution :	880				

Audit Regret Refer Hold Cancel Save & Close Back Next

Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

Limits and Collaterals

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
No data to display.									

Cash Collateral Details

Collateral Percentage * 20.0

Collateral Currency and amount GBP £220.00

Exchange Rate

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response
1		PK20010440017	1	100			

Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
<input type="checkbox"/> PK2CDP1221100002	GBP	2023-04-20	GBP	87508	£495.00	PK2CDP1221100002	<input type="checkbox"/>

Save & Close Cancel

Limit Details
✕

<p>Customer Id 001044 🔍</p> <p>Contribution % * 1.0 ⏴ ⏵</p> <p>Contribution Currency GBP</p> <p>Limit/Liability Currency GBP</p> <p>Limit Check Response Available</p> <p>Expiry Date 📅</p> <p>Response Message The Earmark can be performed as the f</p>	<p>Linkage Type * Facility ▾</p> <p>Liability Number * PK2LIAB01 🔍</p> <p>Line Id/Linkage Ref No * PK2L01SL1 🔍</p> <p>Limits Description <div style="background-color: #ccc; height: 20px; width: 100%;"></div></p> <p>Contribution Amount * <div style="text-align: right;">£220.00</div></p> <p>Limit Available Amount <div style="text-align: right;">£999,999,903.89</div></p> <p>ELCM Reference Number <div style="background-color: #ccc; height: 20px; width: 100%;"></div></p>
--	---

Verify
Save & Close
Close


Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted. User can change the customer ID.	
Linkage Type	Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> Facility Liability 	
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Liability Number	Click Search to search and select the Liability Number from the look-up.	

Field	Description	Sample Values
Contribution Currency	Read only field. The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	<p>Click Search to search and select the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <p style="text-align: center;"> Note</p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p>	
Limit/ Liability Currency	Read only field. Limit Currency will be defaulted in this field, when you select the Liability Number	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response. The value in this field appears, if you click the Verify button	
Amount to Earmark	Amount to Earmark will default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message. The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	

Field	Description	Sample Values
Below fields appear in the Limit Details grid along with the above fields.		
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Edit	Click the link to edit the Limit Details	
Delete icon	Click delete icon to delete the existing limit details.	

Collateral Details

This section displays the collateral details:

Collateral Details
✕

<p>Total Collateral Amount * <input type="text"/></p> <p>Collateral Amount to be Released <input type="text"/></p> <p>Sequence Number <input type="text" value="1.0"/></p> <p>Collateral Contribution Amount * <input type="text" value="NaN"/></p> <p>Settlement Account Currency <input type="text" value="AED"/></p> <p>Contribution Amount in Account Currency <input type="text"/></p> <p>Response <input type="text" value="VN"/></p> <p style="text-align: center;"><input type="button" value="Verify"/></p>	<p>Collateral Amount to be Collected * <input type="text"/></p> <p>New Collateral Amount <input type="text"/></p> <p>Collateral Split % * <input type="text" value="45.0"/> <input type="button" value="v"/> <input type="button" value="^"/></p> <p>Settlement Account * <input type="text" value="0322040001"/> <input type="button" value="Q"/></p> <p>Exchange Rate <input type="text"/> <input type="button" value="v"/> <input type="button" value="^"/></p> <p>Account Available Amount <input type="text"/></p> <p>Response Message <input type="text"/></p>
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Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	

Field	Description	Sample Values
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.	
Settlement Account	Select the settlement account for the collateral amount.	
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.	
Response	Response can be 'Success' or 'Amount not Available'.	

Field	Description	Sample Values
Response Message	Detailed Response message.	
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. Selected collateral type will be displayed in this field.	
Collateral%	This field displays the percentage of collateral.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	This field displays the details of settlement account for then collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	This field displays the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	

Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details
✕

Customer Id
091215 🔍

Deposit Branch
PK2

Deposit Available Amount
AED AED 87,508.00

Exchange Rate

Linkage Percentage % *
45.00 ⏴ ⏵

Deposit Account
PK2CDP1221100002 🔍

Deposit Maturity Date

Deposit Available In Transaction Currency

Linkage Amount(Transaction Currency) *
AED AED 450.00


Save & Close
Close

Field	Description	Sample Values
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Click + plus icon to add new deposit details.

Field	Description	Sample Values
Customer ID	Click Search to search and select the customer ID.	
Deposit Account	Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	

Below fields appear in the **Deposit Details** grid along with the above fields.

Deposit Currency	The currency will get defaulted in this field.	
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	
Edit Link	Click edit link to edit any existing deposit Details.	

Commission, Charges and Taxes Details

Charge Details
✕

Recalculate
Redefault

▲ Commission Details

Event

Event Description

Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settl. Acct	Amendable
No data to display.										

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

▲ Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

▲ Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acct
No data to display.							

Save & Close
Cancel

Commission Details

This section displays the commission details:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	This field displays the commission component.	
Rate	Defaults from product.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	
Amendable	The value is auto-populated as the commission can be amendable or not.	

Charge Details

This section displays charge details:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	

Field	Description	Sample Values
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	<p>If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	

Field	Description	Sample Values
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Assignment of Proceeds


In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.

Assignment of Proceeds x

Assignment Paid By Importer

Assignee Details + -

Sequence	Assignee Id & Assignee Details	Assignment %	Currency	Assigned Amount	Assignee Bank Details	Account With Institution
<input type="checkbox"/> 0	001204 <input type="text" value="ertvrtrtr"/>	0.17	GBP	\$100.00		
<input type="checkbox"/> 1	000335 <input type="text" value="SH TEST CORP"/>		GBP		003723 <input type="text" value="CITIBANK LON"/>	001183 <input type="text" value="RABO BANK"/>

Field	Description	Sample Values
Assignment Paid By Importer	Slide the toggle if assignment is paid by importer.	
Sequence	User can update the sequence number.	
Assignee ID & Assignee Details	User can select the assignee id. Assignee details appear based on selected assignee ID. User can add multiple assignees to a single Bill under LC with the assignment amount or assignment percentage of parent LC. <div style="text-align: center;">  <p>Note WALKIN customers is allowed as assignee.</p> </div>	

Field	Description	Sample Values
Assignment %	User can input the percentage of LC amount that has be assigned to the assignee. Once the user updates the rate, the system has to calculate the amount as per assigned rate and default in the assigned amount field. If the user directly captures the assigned amount then the assigned percentage has to calculate the percentage and the same to be populated in the screen. If contribution is more than 100%, system to display an alert message. Once contribution % is input system will default the amount. System to validate that Limit Contribution percentage plus Collateral percentage is equal to 100. Otherwise system to provide override.The assignment percentage should be rounded to two decimal places	
Currency	User can select the account currency of the settlement account of the assignee. Only the currencies for which the settlement account is available, only those currency will be displayed.	
Assigned Amount	User can input the amount that has to be assigned to the assignee. If the user has already inputted the assignment percentage, system to calculate the amount and populate the value	
Assignee Bank Details	User can select the assignee bank.	
Account with Institution	User can select the account number of the Account with Institution.	

Summary

User can review the summary of details updated in Data Enrichment stage of Islamic Import LC Drawing request.

Islamic Import LC Drawing Update - Scrutiny :: Application No: PK2IILU000007227

Overrides Customer Instruction Common Group Messages Incoming Message

Main Details Drawing Summary Maturity Details Additional Details Summary

Summary

Main Details	Document Details	Shipment Details	Additional Conditions
Product Code : DCN8 Currency : USD Amount : 1000	Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC	Goods Code : Goods Description :	FFT Code 1 : FFT Code 2 :
Discrepancy Details	Maturity Details	Limits and Collaterals	Commission,Charges and Taxes
Discrepancy Code 1 : Discrepancy Code 2 :	Tenor Type : Sight Tenor Basis : Maturity Date : 2021-05-05	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : USD Collateral Contr. : 880 Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia
Parties Details	Assignment of Proceeds		
Drawer : MARKS AND Presenting Bank : WELLS FARG Drawee : GOODCARE PLC	Assignmentofproceeds : No Assignee Name : Assigned Amount :		

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Screen (5 / 5)

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Additional Conditions - User can view additional conditions of the drawing.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Advices - User can view the advices.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Submit	Task will get moved to next logical stage of Import LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in user's queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Data Enrichment

Data Enrichment is applicable only for non-discrepant drawings. A DE User can input Import LC update Drawing. As part of data enrichment user will enter/update basic details of the incoming request.

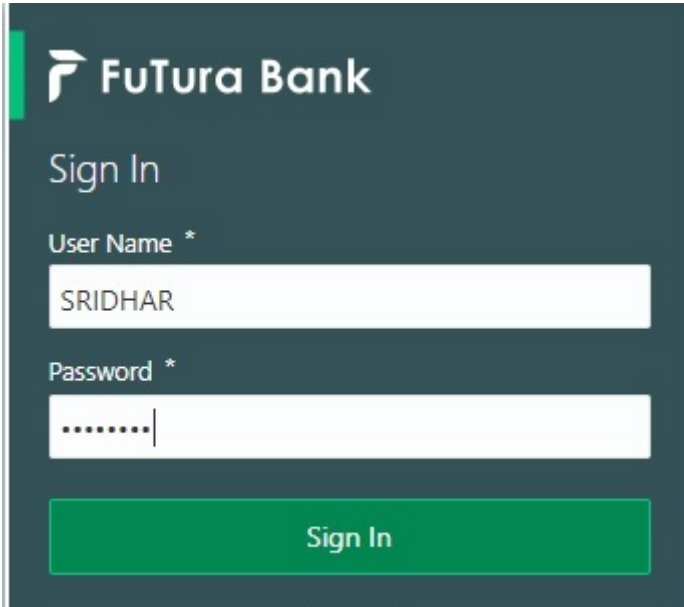


Note

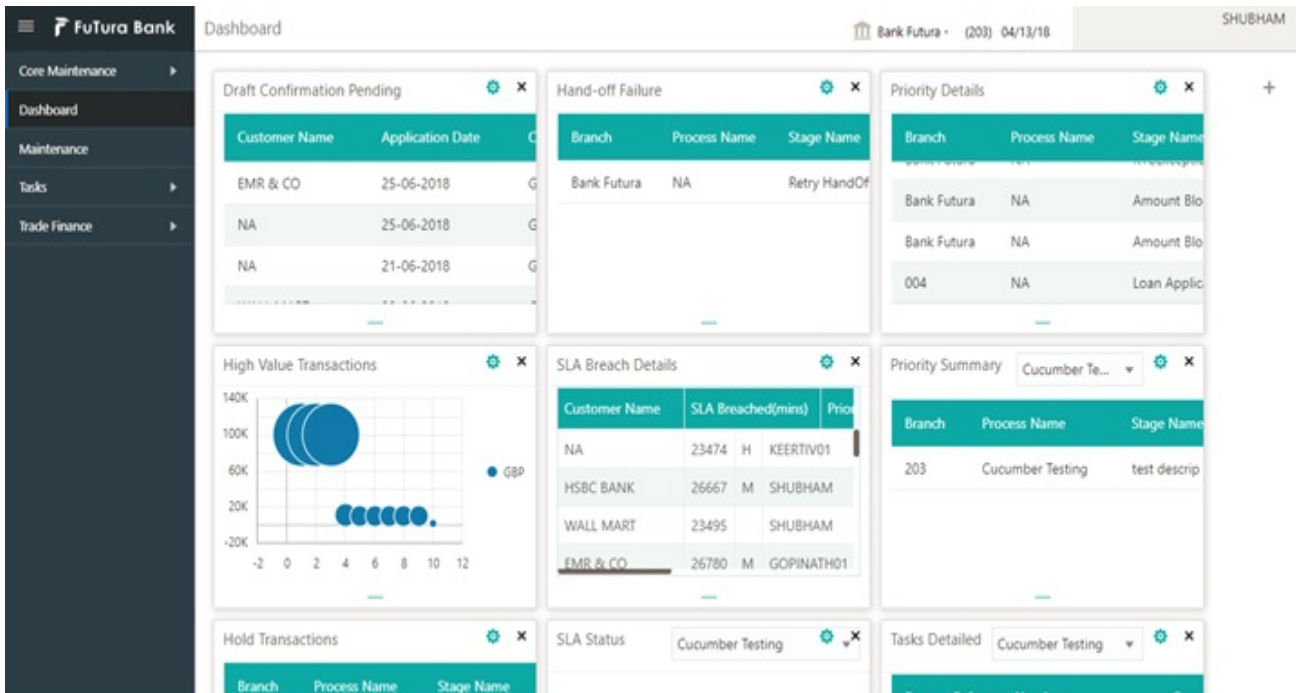
For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Data Enrichment stage:

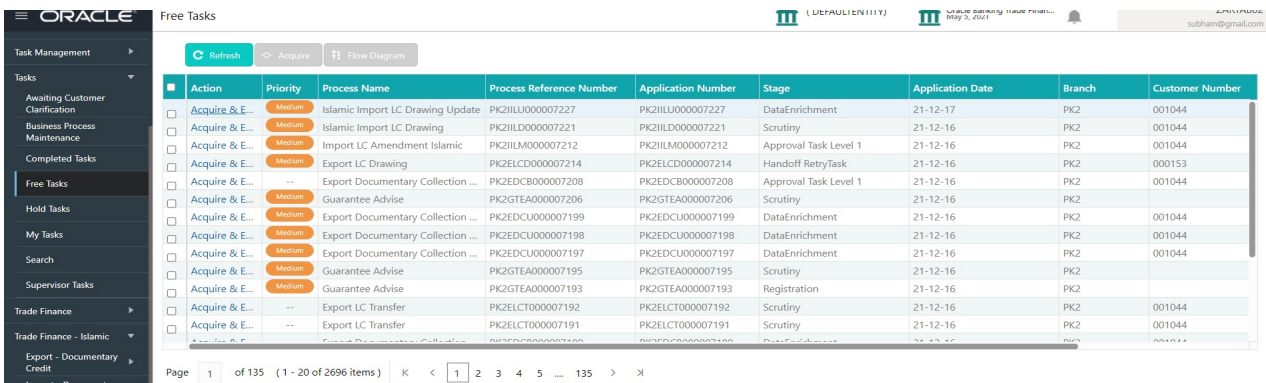
1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

The screenshot shows the Oracle Free Tasks interface. The table has columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The first row is selected, and the 'Acquire & Edit' button is highlighted in the Action column.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Export LC Advise Islamic	000IELA000007237	000IELA000007237	DataEnrichment	21-12-17	PK2	000335
Acquire & Edit	Medium	Guarantee Advice Closu...	PK2GTAC000007234	PK2GTAC000007234	Approval Task Level 1	21-12-17	PK2	001044
Acquire & Edit	Medium	Import LC Amendment L...	PK2IILM000007212	PK2IILM000007212	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Export LC Drawing	PK2ELCD000007214	PK2ELCD000007214	Handoff RetryTask	21-12-16	PK2	000153
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007209	PK2GTEA000007209	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	
Acquire & Edit	Medium	Export LC Transfer	PK2ELCT000007192	PK2ELCT000007192	Scrutiny	21-12-16	PK2	001044

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

The screenshot shows the Oracle My Tasks interface. The table has columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The first row is selected, and the 'Edit' button is highlighted in the Action column.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Edit	Medium	Islamic Import LC Drawing Upda...	PK2IILU000007227	PK2IILU000007227	DataEnrichment	21-12-17	PK2	001044
Edit	Medium	Import LC Issuance Islamic	PK1IILU000007200	PK1IILU000007200	KYC Exceptional approval	21-12-16	PK2	000325
Edit	Medium	Shipping Guarantee Issuance	PK25GTI000007183	PK25GTI000007183	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Lodge Claim - Guarantee Issued	PK2GTEC000007179	PK2GTEC000007179	Scrutiny	21-12-16	PK2	001044
Edit	Medium	Guarantee advise claim lodging	PK2GADC000007176	PK2GADC000007176	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee Issuance Closure	PK2GTEC000007172	PK2GTEC000007172	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee SBLC Issuance -Claim...	PK2GISC000007171	PK2GISC000007171	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee Issuance Internal Am...	PK2GTEI000007167	PK2GTEI000007167	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee Issuance Internal Am...	PK2GTEI000007163	PK2GTEI000007163	Registration	21-12-15	PK2	001044
Edit	Medium	Guarantee Issuance Amendment ...	PK2GTEI000007162	PK2GTEI000007162	DataEnrichment	21-12-15	PK2	001044
Edit	Medium	Guarantee Cancellation	PK2GTEC000007160	PK2GTEC000007160	DataEnrichment	21-12-15	PK2	000325
Edit	Medium	Guarantee Advise Cancellation	PK2GTAC000007159	PK2GTAC000007159	DataEnrichment	21-12-15	PK2	001044
Edit	Medium	Guarantee Advise Amendment	PK2GTAA000007158	PK2GTAA000007158	DataEnrichment	21-12-15	PK2	001044

The Data Enrichment stage has five sections as follows:

- Main Details
- Drawing Summary
- Other Details
- Advices
- Maturity Details
- Additional Details
- Settlement
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Document Details

Refer to [Drawing Summary](#).

Shipment Details

Refer to [Shipment Details](#).

Additional Conditions

Refer to [Additional Conditions](#).

Discrepancy Details

Refer to [Discrepancy Details](#).

Maturity Details

Refer to [Maturity Details](#).

Advices

Islamic Import LC Drawing Update - DataEnrichment :: Application No: PK2ILLU000007227

Overrides Customer Instruction Common Group Messages Incoming Message

Main Details
Drawing Summary
Advices
Maturity Details
Additional Details
Settlement
Summary

Advices

Advice: PAYMENT_MESS...

Advice Name: PAYMENT_MESSAGE
Advice Party :
Party Name :
Suppress : NO
Advice





Audit

Reject Refer Hold Cancel Save & Close Back Next

Screen (3 / 7)

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p>	
Advice Name	<p>Read only field.</p> <p>Displays the advise name.</p>	
Medium	<p>The medium of advices is defaulted from the system.</p> <p>User can update if required.</p>	
Advice Party	<p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC advise.</p>	

Field	Description	Sample Values
Party ID	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Free Format Text		
	Click plus icon to add new FFT code.	
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click edit icon to edit any existing FFT code.	
Action	Click Edit icon to edit the FFT details. Click Delete icon to delete the FFT details.	
Instruction Details		
	Click plus icon to add new instruction code.	
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the Instruction code selected.	
	Click edit icon to edit any existing Instruction code.	
Action	Click Edit icon to edit the instruction details. Click Delete icon to delete the instruction details.	

Additional Details

As part of DE the user can verify and enter the basic additional details available in the Islamic Import LC Update Drawing. In case the request is received through online channel user will verify the details populated.

Islamic Import LC Drawing Update - DataEnrichment :: Application No: PK2IILU000007227

Screen (5 / 7)

- 1 Main Details
- 2 Drawing Summary
- 3 Advices
- 4 Maturity Details
- 5 Additional Details
- 6 Settlement
- 7 Summary

Additional Details

Limits & Collaterals	Charge Details	Preview Message	Assignment of Proceeds
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : USD Collateral : 880 Contribution :	Charge : Commission : Tax : Block Status :	Language : Preview Advice : -	AssignmentOfProceeds No Assignee Name : Assigned Amount :

Finance Preferences

:
 :
 Finance Amount :

Audit

Limits & Collateral

Refer to [Limits & Collateral](#).

Charge Details

Refer to [Commission, Charges and Taxes Details](#).

Payment Details

Acceptance/Payment

PaymentDetails

Advance by Loan

Auto Liquidate

Reimbursement Date

Settle Available Amount

Immediate Accept

Liquidate using Collateral

Transfer Collateral from LC

Reimbursement Claimed

Immediate Liquidation Required

Reimbursing Bank


Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency	Original Exchange Rate	Exchange Rate	Deal Reference Number
No data to display.									

Page 1 (0 of 0 items) < 1 >

Provide payment details based on the description in the following table:

Field	Description	Sample Values
Advance by Loan	Read only field. Advance by Loan enables creation of loan at the time of Final liquidation.	

Field	Description	Sample Values
Settle Available Amount	Enable this option, if during settlement, the amount as available in the CASA account of the customer has to be utilized and for the balance if a loan has to be availed.	
Transfer Collateral from LC	Enable this option, if collaterals are transferred from LC.	
Immediate Liquidation Required	This toggle is applicable only for sight LC's and only if the drawings are without discrepancy. Switch on the toggle to enable immediate liquidation for the drawing.	
Auto Liquidate	Toggle On: Set the toggle 'On' if the auto Liquidation is required. Toggle Off: Set the toggle 'Off' if the auto Liquidation is not required.	
Immediate Accept	This toggle is applicable only for Usance/multi tenor drawings and only if the drawings are without discrepancy. Switch on the toggle if acceptance to be sent immediately.	
Reimbursement Claimed	Switch on the toggle if the reimbursement is already claimed. This field is applicable only if reimbursement is applicable and LC has reimbursement bank details.	
Reimbursing Bank	Read only field. Reimbursing bank details gets defaulted from the LC.  Note If the user selects another bank and in case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".	
Reimbursement Date	This field will be enabled only if Reimbursement Claimed is 'Yes'. Enables user to capture the reimbursement date. If reimbursement date is later than the branch date, system will display an error.	
Liquidate using Collateral	Read only field.	
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	

Field	Description	Sample Values
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays debit/ credit for the component.	
Account	Customer account.	
Account Description	Description of the account.	
Branch	Branch of the customer's account.	
Account Currency	Currency of the account.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

Tracer Details

User can trace the response for the discrepancies identified.

Payment Tracer

Provide the payment tracer details based on the description in the following table:

Tracer Details

Payment Tracer

Tracer Required

Tracer Receiver Party

Number of Tracers

Tracer Start Date

Tracer Frequency

Tracer Medium

Discrepancy Tracer

Tracer Required

Tracer Receiver Party

Number of Tracers

Tracer Start Date

Tracer Frequency

Tracer Medium

Acceptance Tracer

Tracer Required

Tracer Receiver Party

Number of Tracers

Tracer Start Date

Tracer Frequency

Tracer Medium

Field	Description	Sample Values
Tracer Required	Toggle on - Switch on the toggle to capture the tracer details. Toggle off - Switch of the toggle, if user does not require to capture tracer details.	
Number of Tracers	Provide the number of tracers required.	

Field	Description	Sample Values
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	Select the tracer medium from the LOV: <ul style="list-style-type: none"> • Mail • Email • Swift 	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

Discrepancy Tracer

Provide the discrepancy tracer details based on the description in the following table:

Tracer Details

Payment Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

Discrepancy Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

Acceptance Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

Field	Description	Sample Values
Tracer Required	Toggle on - Switch on the toggle to capture the tracer details. Toggle off - Switch of the toggle, if user does not require to capture tracer details.	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	Select the tracer medium from the LOV: <ul style="list-style-type: none"> • Mail • Email • Swift 	

Field	Description	Sample Values
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

Acceptance Tracer

Provide the acceptance tracer details based on the description in the following table:

Tracer Details

Payment Tracer

Tracer Required: Number of Tracers: Tracer Frequency: Tracer Medium:

Tracer Receiver Party: Tracer Start Date:

Discrepancy Tracer

Tracer Required: Number of Tracers: Tracer Frequency: Tracer Medium:

Tracer Receiver Party: Tracer Start Date:

Acceptance Tracer

Tracer Required: Number of Tracers: Tracer Frequency: Tracer Medium:

Tracer Receiver Party: Tracer Start Date:

Field	Description	Sample Values
Tracer Required	Toggle on - Switch on the toggle to capture the tracer details. Toggle off - Switch of the toggle, if user does not require to capture tracer details.	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	Select the tracer medium from the LOV: <ul style="list-style-type: none"> • Mail • Email • Swift 	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

FX Linkage



This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

Provide the FX linkage detail based on the description in the following table:

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200760012	EUR		\$0.00	1.33	\$0.00			Jun 28, 2020	 

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Average FX Rate
0

Save & Close Cancel

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> • Counterparty of the FX contract should be the counterparty of the Bill contract. • Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available ContractAmount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	
Linked Amount	<p>Sum of Linked amount will not be greater than LC contract amount.</p> <p>Linked amount will not be greater than the available amount for linkage.</p>	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	

Field	Description	Sample Values
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Finance Preference

This section enables the user to request for a loan to liquidate the drawing under the LC. This section will be enabled based on the product selected for booking the drawing under the LC.

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Drawing/Collection Ref	Read only field. This field displays the loan product linked to the drawing product.	
Bill Currency-Amount	Outstanding Drawing/ Collection Currency and Amount. Defaults from the underlying task. User cannot change the value.	
Customer ID	Read only field. This field displays the customer ID of the applicant/applicant bank.	
Customer Name	Read only field. This field displays the applicant/applicant bank name.	

Field	Description	Sample Values
Product Code	Defaults from the underlying Collection/ Drawing Product maintenance. User cannot change the value.	
Currency-Amount	Loan currency is defaulted from the bill currency. User can change this to Local Currency. System should display an error message on tab out if the currency selected is not a Bill currency or Local Currency. System populates the loan account based on the outstanding drawing/ Collection amount less the collateral amount. User cannot change the Loan amount.	
Original Exchange Rate	System displays the Original Exchange rate.	
Exchange Rate	Exchange rate applicable for Local Currency. User can enter the Exchange rate. System validates the exchange rate is within the allowed range.	
Tenor Units	Application defaults the loan tenor units based on the product.	
Tenor Type	System defaults the value. User can change the value. Values are Days, Months and Years. The numerical value for Days or months or Years is applicable.	
Rate Type	System defaults the rate type.	
Rate Code	System populates the Rate code as part of simulation.	
Finance/Finance Value Date	System defaults the branch date as Value date. User cannot change the value.	
Finance Maturity Date	Loan maturity date as default based on Tenor type and Tenor units. User cannot change the value.	
UDE Details		
User Defined Element ID	System populates the UDE Element ID as part of simulation. User are allowed to change the selection through LOV.	
UDE Description	System populates the UDE description as part of simulation. If a user changes the UDE ID, system should populate the description.	

Field	Description	Sample Values
Rate Code	System populates the Rate code as part of simulation.	
Usage	System populates the details as part of simulation.	
UDE Value	System populates the value as part of simulation.	
Limits		
Linkage Type	System defaults the linkage type as "Facility" from back office.	
Linkage Reference Number	System defaults the Linkage reference as part of simulation. User can change the value. Linkages available for the customer should be displayed for selection.	
Linked Percentage	User can enter the value. Maximum is 100. User cannot enter negative values.	
Limit Amount	System defaults the value. System should populate the value based on the contribution percentage.	

Preview

User can view the draft preview of the advise.

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	

Field	Description	Sample Values
Message type	Select the message type from the drop down. User can choose to see preview of different message like MT 700, MT 740 and MT 701.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the advice.	

Profit Details

The user can view and modify the Interest Details Simulated from Back Office system.

Interest Details x

Recalculate Redefault

4 Interest Details

Component	Component Description	Rate Type	Rate Code	Min Spre...	Max Spread	Spread	Min Rate	Max Ra...	Rate	Modified Rate	Currency	Amou...	Waive	Charge Par...	Settlement Account
IBC_ACP_IN	BC IMP ACCEPTANCE COMMISSION	Fixed					2	16	6.2		EUR	€0.85	<input type="checkbox"/>	Applicant	PK1000325025 Q

Save & Close Close

Linked Loan Details

Field	Description	Sample Values
Component	This field displays the component maintained in the back office.	
Component Description	This description of the component.	
Rate Type	System defaults the rate type maintained for the component in back office. Value can be: <ul style="list-style-type: none"> • Fixed Floating • Special 	
Rate Code	This field displays the rate code applicable for the Componen.	
Min Spread	This field displays the minimum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Max Spread	This field displays the maximum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.	
Min Rate	This field displays the minimum rate applicable for the Rate Code.	
Max Rate	This field displays the maximum rate applicable for the Rate Code.	
Rate	This field displays the value applicable for the Rate Code. User can change the value if the Rate Type is Fixed . System validates whether the Rate input is between the Minimum and Maximum Rate.	
Modified Rate	This field displays the modified rate.	
Currency	System defaults the Interest currency in this field.	

Field	Description	Sample Values
Amount	User can input the interest amount, in case the Rate Type is Special , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.	
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.	
Charge Party	System displays the Charge Party based on the type of transaction. In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills..	
Settlement Account	System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display an override. In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM)..	

Linked Finance Details

This user can view the details of linked loan accounts.

Finance Account	Finance Currency	Finance Amount
No data to display.		

Provide the linked loan details based on the description in the following table:

Field	Description	Sample Values
Finance Account	The details of the linked loan account.	
Finance Currency	Loan Currency of the linked loan account.	
Finance Amount	Loan amount of the linked loan account.	

Settlement Details

As part of DE, the user will verify and enter the basic additional details available in the Islamic Import LC update drawing. In case the request is received through online channel user will verify the details populated.

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange
BILL_LIQ_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No		
BILL_LIQ_AMTEG	GBP	Credit	PK20010440017	FIXNETIX	GBP	No	No		
BKTAX_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No	1	
IBCOUR_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No	1	
IBOPNGC_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No	1	
IBSWIFT_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No	1	
IIB_ACP_IN_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No	1	
LQTAX_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No		

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Currency Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	Application displays the current event as Y or N.	

Field	Description	Sample Values
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Summary

User can review the summary of details updated in Data Enrichment stage Islamic Import LC Update Drawing request. As part of summary screen, the user can see the summary tiles. The tiles should display a list of important fields with values.

Islamic Import LC Drawing Update - DataEnrichment :: Application No: PK2IILLU000007227

Summary

Main Details	Document Details	Shipment Details	Additional Conditions	Discrepancy Details
Product Code : DCN8 Currency : USD Amount : 1000	Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC	Goods Code : Goods Description :	FFT Code 1 : FFT Code 2 :	Discrepancy Code 1 : Discrepancy Code 2 :
Payment Details	FX Linkage	Finance Preferences	Maturity Details	Limits and Collaterals
Immediate Liquidation: Immediate Accept : Reim Claimed :	Reference Number : Contract Amount : Contract Currency :	Loan Product : LinkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :	Tenor Type : Sight Tenor Basis : Maturity Date : 2021-05-05	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : USD Collateral Contr. : 880 Collateral Status : Not Verified
Commission,Charges and Taxes	Assignment of Proceeds	Preview Messages	Settlement	Parties Details
Charge : Commission : Tax : Block Status : Not Initia	Assignmentofproceeds No Assignee Name : Assigned Amount :	Language : ENG Preview Message : -	Component : LQTAX_AMT Account Number : PK20010440 Currency : GBP	Drawer : MARKS AND Presenting Bank : WELLS FARG Drawee : GOODCARE PLC
Compliance details	Accounting Details	Advices	Linked Finance Details	Profit Details
KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Event : AccountNumber : Branch :	Advice 1 : PAYMENT_ME	financeAcc : financeCcy : financeAmt :	Component : Amount : Event :

Audit

Reject Refer Hold Cancel Save & Close Back Next Submit

Screen (7/7)

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Revolving Details - User can view the revolving details.
- Maturity Details - User can view the maturity details.
- Payment Details - User can view the payment details.
- Preview Details - User can view the preview the draft message.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details – User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Import LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Exceptions

As per regulatory requirement, all tasks are scrutinized for KYC, Compliance and Sanctions. The checks to external system/internal system is initiated after the Data Enrichment stage.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.

- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	

Field	Description	Sample Values
Cancel	Cancel the Import LC Drawing Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	<p>Cancel the Import LC Drawing KYC exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Multi Level Approval

The user can view the summary of details updated in multilevel approval stage of Import LC update Drawing. Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Drawing Currency
- Drawing Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the FuTura Bank interface. On the left is a navigation menu with categories like Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, and Trade Finance. The main area shows a 'Free Tasks' table with columns for Action, Priority, Application Number, Process Name, Stage, and Back Office Ref No. An 'Approval Rekey' dialog box is open in the foreground, featuring tabs for 'Incoming Message', 'Documents', and 'Remarks'. It contains two input fields: 'Drawing Currency' with a dropdown menu showing 'GBP' and a green checkmark, and 'Drawing Amount' with a text input field showing '£100,000.00' and a green checkmark. At the bottom of the dialog are three buttons: 'Proceed', 'Refer', and 'Cancel'.

Summary

Islamic Import LC Drawing Update - Approval Task Level 1 :: Application No: PK2ILU000007227

Overrides Customer Instruction Common Group Messages Incoming Message

Main Details	Document Details	Shipment Details	Additional Conditions	Discrepancy Details	Payment Details			
Product Code : DCN8 Currency : USD Amount : 1000	Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC	Goods Code : Goods Description :	FFT Code 1 : FFT Code 2 :	Discrepancy Code 1 : Discrepancy Code 2 :	Immediate Liquidation: Immediate Accept : Reim Claimed :			
FX Linkage	Finance Preferences	Maturity Details	Limits and Collaterals	Commission,Charges and Taxes	Assignment of Proceeds			
Reference Number : Contract Amount : Contract Currency :	Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :	Tenor Type : Sight Tenor Basis : Maturity Date : 2021-05-05	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : USD Collateral Contr. : 880 Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Assignmentofproceeds No Assignee Name : Assigned Amount :			
Preview Messages	Settlement	Linked Finance Details	Parties Details	Compliance details	Accounting Details			
Language : ENG Preview Message : -	Component : LQTAX_AMT Account Number : PK20010440 Currency : GBP	financeAcc : financeCcy : financeAmt :	Drawer : MARKS AND Presenting Bank : WELLS FARG Drawee : GOODCARE PLC	KYC : Verified Sanctions : Verified AML : Verified	Event : AccountNumber : Branch :			
Advices	Profit Details	Profit Details	Exception(Approval)					
Advice 1 : PAYMENT_ME	Component : Amount : Event :	Component : Amount : Event :	EXCEPTION : Nil					
Audit				Reject	Hold	Refer	Cancel	Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Shipment Details - User can view shipment details.
- Additional Conditions - User can view additional conditions of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Revolving Details - User can view revolving details on revolving LC.
- Payment Details - User can view payment details.
- Settlement Details - User can view settlement details.
- Preferences - User can view the loan preferences.
- FX Linkage - User can view the FX linkage details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import LC Update Drawing in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and LC details.
- Drawing Details - User can view the drawing details.
- Documents Under LC - User can view the documents received under the LC for this drawing, if required.
- Shipment - User can view shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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